General Information

| Name of the Company: NDA Securities Limited | | | | | | | |
|--|---|--|--|--|--|--|--|
| Scrip Code, Name of the scrip, class of security: 511535, NDA SECURITIES LTD., Equity shares | | | | | | | |
| Quarter ended : 30-6-2015 | | | | | | | |
| General information [Abstract] | | | | | | | |
| Name of the company | NDA Securities Limited | | | | | | |
| Scrip code | 511535 | | | | | | |
| Corporate identity number | L74899DL1992PLC050366 | | | | | | |
| Address of registered office of company | 1002A, Arunachal, 19, Barakhmaba Road, New Delhi-110001 | | | | | | |
| Permanent account number of entity | AAACN0450C | | | | | | |
| Name of the scrip | NDA SECURITIES LTD. | | | | | | |
| Class of security | Equity shares | | | | | | |
| Type of report | Quarterly | | | | | | |
| Reason for additional filling | | | | | | | |
| Whether company has more than one class of shares or securities | No | | | | | | |
| Whether company is SME | No | | | | | | |
| Date of report | 30-6-2015 | | | | | | |

Disclosure of shareholding pattern

| Naı | me of the Company: NDA Securities | Limited | | |
|---|--|----------------------------------|---|--|
| Scr | ip Code, Name of the scrip, class of s | ecurity: 51153 | 35, NDA SECURITIES LTD., | Equity shares |
| Qua | arter ended : 30-6-2015 | | | |
| Dis | closure of notes on shareholding patt | ern | | Notes |
| Par | rtly paid-up shares | | | |
| ID Description partly paid-up shares Number of partly paid-up shares Number of partly paid-up shares Shares Number of partly paid-up | | | | As a percentage of total number of shares of the company |
| Sha | res held by promoter or promoter gr | oup | | |
| | al Shares held by promoter or moter group | | | |
| Sha | res held by public | • | | |
| Tot | al Shares held by public | | | |
| Tot | al | | | |
| Out | tstanding convertible securities | • | • | |
| ID Description of outstanding convertible securities | | Number of outstanding securities | As a percentage of total number of outstanding convertible securities | As a percentage of total number of shares of the company, assuming full conversion of the convertible securities |
| Sha | res held by promoter or promoter gr | oup | | |
| | al shares held by promoter or moter group | | | |
| Sha | res held by public | | | |
| Tot | al Shares held by public | | | |
| Tot | al | | | |
| Wa | rrants | | | |
| ID | Description of warrants | Number of warrants | As a percentage of total number of warrants | As a percentage of total number of shares of the company, assuming full conversion of warrants |
| Sha | res held by promoter or promoter gr | oup | | |
| | al Shares held by promoter or moter group | | | |
| Sha | res held by public | | | |
| Tot | al Shares held by public | | | |
| Tot | al | | | |
| assi | al paid-up capital of the company uming full conversion of warrants I convertible securities | 509220 | | |

Disclosure of fully paid-up shares

| Scri | ip Code, Name o | of the scrip, cla | ss of security : | 511535, N | DA SECURITIES | S LTD., Equity | shares | | |
|--|---|----------------------------------|------------------------|---------------------------------|---|--------------------------|----------------------------|------------------------|-------------------------|
| Qua | rter ended: 30 | -6-2015 | | | | | | | |
| Disc | closure of notes | on fully paid-u | p shares | | | | | | Notes |
| Cat | regory code Total Shareholding as a percentage of total number of shares Shares ple otherwise | | | | | | ledged or e encumbered | | |
| | | | Number of shareholders | Total number of shares | Number of shares held in dematerialised form | As a percentage of (A+B) | As a percentage of (A+B+C) | Number of shares | As a percentage |
| (I) | (II) | | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*10 |
| (A) | Shareholding of | of Promoter an | d Promoter Gi | oup | ! | ! | | | ! |
| (1) | Indian | | | | | | | | |
| (a) | Individuals or undivided fam | | 7 | 3589240 | 3589240 | 70.49 | 70.49 | | |
| (b) | Central government | | 0 | 0 | 0 | 0 | 0 | | |
| (c) | Bodies corpor | ate | 1 | 50000 | 50000 | 0.98 | 0.98 | | |
| (d) | Financial instit | utions or | 0 | 0 | 0 | 0 | 0 | | |
| (e) | Any other (specify) Description of Shareholder | | | | | • | | | |
| | l | Total Others | | | | | | | |
| | Su | b Total (A) (1) | 8 | 3639240 | 3639240 | 71.47 | 71.47 | | |
| (2) | Foreign | | • | • | • | 1 | | • | |
| (a) | Non-resident in foreign individ | | | | | | | | |
| (b) | Bodies corpor | ate | | | | | | | |
| (c) | Institutions | | | | | | | | |
| (d) | Qualified forei | gn investor | | | | | | | |
| (e) | Any other (specify) | Description of Shareholder | | | | 1 | | | |
| | | Total Others | | | | | | | |
| | | o-Total (A) (2) | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A) = (A) (1)+(A)(2) | | | 8 | 3639240 | 3639240 | 71.47 | 71.47 | | |
| (B) | Public Shareho | olding | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | Mutual funds of | or UTI | | | | | | | |
| (b) | Financial instit | utions or | | | | | | | |
| (c) | Central govern | | | | | | | | |
| (d) | Venture capita | l funds | | | | | | | |

| | | | | Share F | Iolding Pattern- 30 | 06 2015.html | | |
|-----------------------------------|---|----------------------------------|-----------------|-----------|---------------------|--------------|-------|--|
| (e) | Insurance com | panies | | | | | | |
| (f) | Foreign institu investors | tional | | | | | | |
| (g) | Foreign venture capital investors | | | | | | | |
| (h) | Qualified foreign investor | | | | | | | |
| (k) | Any other (specify) | Description of Shareholder | | | | , | | |
| | | Total Others | | | | | | |
| | Sul | b Total (B) (1) | | | | | | |
| (2) | Non-Institution | 18 | | | | | | |
| (a) | Bodies corpora | ate | 49 | 29599 | 13699 | 0.58 | 0.58 | |
| (b) | Individuals | | | | | | | |
| i | Individual shar holding nomina capital up to R | al share | 3508 | 634501 | 235319 | 12.46 | 12.46 | |
| ii | Individual shareholders holding nominal share capital in excess of Rs one lakh | | 11 | 742716 | 722916 | 14.59 | 14.59 | |
| (c) | Qualified foreign investor | | | | | | | |
| (d) | Any other (specify) | Description of Shareholder | | | | | | |
| 1 | Non- Institutions Shareholders | NRI | 1 | 10 | 10 | 0 | 0 | |
| 2 | Non- Institutions Shareholders 2 | HUF | 22 | 46134 | 46134 | 0.91 | 0.91 | |
| | | Total Others | 23 | 46144 | 46144 | 0.91 | 0.91 | |
| | Su | ib-total (B) (2) | 3591 | 1452960 | 1018078 | 28.53 | 28.53 | |
| To | otal Public Shar | eholding (B) = (B)(1)+(B)(2) | 3591 | 1452960 | 1018078 | 28.53 | 28.53 | |
| | TC | OTAL (A)+(B) | 3599 | 5092200 | 4657318 | 100 | 100 | |
| (C) Shares held by custodians and | | | l against which | depositor | y receipts have b | een issued | | |
| (1) | Promoter and group | | | | | | | |
| (2) | Public | | | | | | | |
| То | tal Shares held | by Custodians (C) | | | | | | |
| (| GRAND TOTAL | L (A)+(B)+(C) | 3599 | 5092200 | 4657318 | 100 | 100 | |

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category Promoter and Promoter Group

| Nan | ne of the Comp | oany : ND | A Securities I | imited | | | | | | | |
|---|--|------------------------------------|--|-------------------------------------|------------------------|---|----------------------------------|--|--|---|---|
| Scri | p Code, Name | of the scr | ip, class of se | ecurity : 51153 | 5, NDA SECU | RITIES LTD | ., Equity sh | ares | | | |
| | rter ended: 30 | | | | | | | | | | T |
| Disclosure of notes on securities belonging to the category "Promoter and Promoter Group" | | | | | | | | | | | |
| Sr. No. | | Details of held | f Shares | Encumbered | nbered Share | | | Details of warrants | | Details of convertible securities | |
| | Name of the shareholder | Number of the shares held | As a percentage of grand total (A) + (B) + (C) | Encumbered - number of shares | As a percentage | As a percentage of grand total (A) + (B) + (C) of clause (I)(a) | Number of warrants held | Warrants held as a percentage of total numbers of warrants of the same class | Number of convertible securities held | Convertible securities as percentage of total numbers of convertible securities of same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital |
| (I) | (II) | (III) | (IV) | (V) | (VI)= (V)/(III)*100 | (I)(a) (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | Sh. N. D. Agarwal | 3034774 | 59.6 | | | | | | | | 595.97 |
| 2 | N. D. Agarwal (HUF) | 264700 | 5.2 | | | | | | | | 51.98 |
| 3 | Sh. Sanjay Agarwal | 180785 | 3.55 | | | | | | | | 35.5 |
| 4 | Smt. Saroj Agarwal | 80010 | 1.57 | | | | | | | | 15.71 |
| 5 | NDA Research & Technologies Pvt. Ltd. | 50000 | 0.98 | | | | | | | | 9.82 |
| 6 | Smt. Deepti Agarwal | 28451 | 0.56 | | | | | | | | 5.59 |
| 7 | Smt. Reena Gupta | 510 | 0.01 | | | | | | | | 0.1 |
| 8 | Smt. Neena Dewan | 10 | 0 | | | | | | | | 0 |
| Tota | al | 3639240 | 71.47 | | | | | | | | 714.67 |

(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares

| Nan | ne of the Com | pany : ND | A Securities Limited | | | | | | |
|------------|-------------------------------|------------------------------------|--|----------------------------------|---|--|---|---|--|
| Scri | p Code, Name | of the sci | rip, class of security: 511 | 535, NDA | SECURITIES I | LTD., Equity s | hares | | |
| Qua | rter ended : 3 | 0-6-2015 | | | | | | | |
| | | | ng of securities of person f the total number of sha | | to the categor | ry "Public" a | nd holding | Notes | |
| Sr. No. | | | | | | | | | |
| | Name of the shareholder | Number of the shares held | Shares as percentage of total number of shares {i.e, Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above} | Number of warrants held | Warrants held as a percentage of total numbers of warrants of the same class | Number of convertible securities held | Convertible securities as percentage of total numbers of convertible securities of same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (I)(a) (VII) | (VIII) | (IX) | |
| 1 | Rameshwar Dayal Tayal | 176354 | 3.46 | | | | | 34.63 | |
| 2 | Trilok Chand Agarwal | 168536 | 3.31 | | | | | 33.1 | |
| 3 | Prabha Somani | 160971 | 3.16 | | | | | 31.61 | |
| 4 | Usha Rani Gupta | 77672 | 1.53 | | | | | 15.25 | |
| Tota | ıl | 583533 | 11.46 | | | | | 114.59 | |